

# ATOSSA THERAPEUTICS EXPANDED ACCESS POLICY

#### PURPOSE AND PHILOSPOHY

Atossa Therapeutics is a clinical-stage biopharmaceutical company developing novel, proprietary therapeutics and delivery methods for breast cancer and other breast conditions.

Atossa Therapeutics' development resources are focused on conducting clinical studies to fully answer important scientific questions about the potential risks and benefits of the investigational products, and to obtain marketing approval by the FDA and other regulatory health authorities.

Atossa Therapeutics is committed to making investigational products available to seriously ill patients who have exhausted other treatment options. A treating physician, who is able to comply with the requirements that are stated in this document, may request information about how to apply for access to Atossa Therapeutics' investigational products by contacting the Company.

The purpose of this policy is to describe the requirements for Expanded Access to Atossa Therapeutics investigational products to patients outside of a clinical study.

# ATOSSA THERAPEUTICS APPROACH TO REQUESTS FOR ACCESS TO EXPERIMENTAL THERAPIES

- Participation in clinical trials is the first and most preferable route.
- If participation in clinical trials is not an option, physicians may consider other options, which may include expanded access programs managed by the company or single-patient expanded access.
- Requests are considered on a case-by-case basis in a fair and equitable manner.

# GENERAL CRITERIA USED TO EVALUATE ACCESS REQUESTS FOR INDIVIDUAL PATIENTS

The patient has a serious or life-threatening condition with no satisfactory alternative.

- Assessment that benefits outweigh the risks to the patient.
- Assessment that the company has an adequate supply of the investigational medicine.
- A determination that expanded access will not interfere with the company's ability to complete
  clinical trials in a timely fashion or which might otherwise delay marketing approval and ultimately
  availability to all patients.

#### **CURRENTLY AVAILABLE INVESTIGATIONAL THERAPIES**

Oral endoxifen (for pre-surgical and adjuvant treatment of ER+ breast cancer).

#### **HOW TOAPPLY**

Interest in the Expanded Access program must be provided to Atossa Therapeutics by a medically qualified physician, using the Expanded Access Request form <a href="https://atossatherapeutics.com/programs/">https://atossatherapeutics.com/programs/</a> on the Atossa Therapeutics website (<a href="https://atossatherapeutics.com">https://atossatherapeutics.com</a>).

- Atossa Therapeutics may request additional information, including patient history, in order to fully evaluate the request.
- If the physician will be the sponsor for the IND, he or she is required to obtain necessary ethical and regulatory clearances, including informed consent, as well as provide reporting on treatment outcomes. Atossa Therapeutics may be able to provide assistance in these endeavors.

Requests for Expanded Access will be acknowledged within 3 working days.

# **CONTACT INFORMATION**

For fastest consideration, please use the Expanded Access Contact format https://atossatherapeutics.com.